

Stable and unstable

operations

in  $p$ -local  $K$ -theory

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Based on joint work with  
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## Notation

$K$	complex $K$ -theory spectrum
$k$	connective version
$p$	odd prime
$K_{(p)}, k_{(p)}$	$p$ -local versions
$q$	primitive mod $p^2$ (topological generator of $\mathbb{Z}_p^\times$ )
$\Psi^\alpha$	unstable $p$ -local Adams operation, $\alpha \in \mathbb{Z}_{(p)}$

## Standard fact

$\Psi^\alpha$  is a **stable**  $p$ -local operation if and only if  $\alpha \in \mathbb{Z}_{(p)}^\times$ .

We will describe the rings of stable and unstable operations in  $p$ -local  $K$ -theory and discuss the relationship between them.

### Notation

$A = K^0(K)$ ,    stable degree zero operations,  
periodic

$B = k^0(k)$ ,    stable degree zero operations,  
connective

$C$                 unstable additive operations

We have

$$A \subset B \subset C.$$

We write  $A_{(p)}$ ,  $B_{(p)}$ ,  $C_{(p)}$  for the corresponding rings of operations for  $p$ -local  $K$ -theory and we have

$$A_{(p)} \subset B_{(p)} \subset C_{(p)}.$$

## Unstable operations

**Definition** Define elements  $\mu_n \in C$ , for  $n \geq 0$ , by

$$\mu_n = \sum_{i=0}^n (-1)^{n-i} \binom{n}{i} \Psi^i.$$

**Theorem** [Adams]

$$C = \left\{ \sum_{n \geq 0} a_n \mu_n \mid a_n \in \mathbb{Z} \right\}.$$

### Idea of Proof:

Consider  $C \rightarrow K^0(\mathbb{C}P^\infty) = \mathbb{Z}[[t]]$  given by  $\theta \mapsto \theta(1+t)$ , where  $1+t$  is the Hopf bundle. Note that  $\Psi^j \mapsto (1+t)^j$ .

This is an additive isomorphism, with injectivity proved using the Splitting Principle and surjectivity by noting that  $\mu_n \mapsto t^n$ .

This exhibits the ring as a completion of the monoid ring  $\mathbb{Z}[\mathbb{N}_0^{\text{mult}}]$ .

## Stable operations

**Definition** For  $n \geq 0$ , define elements  $\varphi_n \in B_{(p)}$  by

$$\varphi_n = \prod_{i=0}^{n-1} (\Psi^q - q^i).$$

**Theorem** [CCW]

$$B_{(p)} = \left\{ \sum_{n \geq 0} a_n \varphi_n \mid a_n \in \mathbb{Z}_{(p)} \right\}.$$

### Idea of Proof:

Exploit duality and a nice basis for the dual object. This dual is a ring of “stably numerical polynomials” and has a basis involving Gaussian polynomials.

## Definition

The *Gaussian polynomial*  $\begin{bmatrix} n \\ j \end{bmatrix} \in \mathbb{Z}[q]$  is defined by

$$\begin{bmatrix} n \\ j \end{bmatrix} = \frac{\theta_j(q^n)}{\theta_j(q^j)},$$

where  $\theta_n(X) \in \mathbb{Z}[X]$  is given by

$$\theta_n(X) = \prod_{i=0}^{n-1} (X - q^i).$$

## Basic properties

- $\begin{bmatrix} n \\ j \end{bmatrix} = \begin{bmatrix} n-1 \\ j-1 \end{bmatrix} + q^j \begin{bmatrix} n-1 \\ j \end{bmatrix}.$
- $\begin{bmatrix} n \\ j \end{bmatrix} = 0$  if  $j > n.$

# Relating stable and unstable operations

## Proposition

$$\varphi_n = \sum_{j=n}^{q^n} \left( \sum_{i=0}^n (-1)^{n-i} q^{\binom{n-i}{2}} \begin{bmatrix} n \\ i \end{bmatrix} \binom{q^i}{j} \right) \mu_j.$$

The range of summation ensures that we get a sensible formula expressing an infinite sum of the  $\varphi_n$  as an infinite sum of the  $\mu_n$ , thus making explicit the inclusion  $B_{(p)} \rightarrow C_{(p)}$ .

We can characterize the image by a  
(complicated!) family of congruences.

### Theorem

Let  $\mu = \sum_{n \geq 0} a_n \mu_n \in C_{(p)}$ .

Then  $\mu \in B_{(p)}$  if and only if

$$\sum_{n=0}^m \sum_{k=n}^m \sum_{i=0}^n a_n (-1)^{m+n-i-k} q^{\binom{m-k}{2}} \begin{bmatrix} m \\ k \end{bmatrix} \binom{n}{i} i^k \equiv 0 \pmod{p^{\gamma_p(m)}},$$

for all  $m \geq 0$ ,

where

$$\gamma_p(m) = \lfloor m/(p-1) \rfloor + \nu_p(\lfloor m/(p-1) \rfloor!).$$

## Filtrations

We have decreasing filtrations by ideals

$$B_{(p)_m} = \left\{ \sum_{n \geq m} a_n \varphi_n : a_n \in \mathbb{Z}_{(p)} \right\}$$

and

$$C_{(p)_m} = \left\{ \sum_{n \geq m} a_n \mu_n : a_n \in \mathbb{Z}_{(p)} \right\}$$

Both  $B_{(p)}$  and  $C_{(p)}$  are complete in the filtration topology.

It is often convenient to work mod  $\Psi^0$  and we can do this without losing information, since

$$C = \Psi^0 C \oplus (1 - \Psi^0)C,$$

with  $\Psi^0 C$  a free abelian group of rank 1 generated by  $\Psi^0$ .

## Completions

### Theorem

There is an injective ring homomorphism  $B_{(p)}[\Psi^p] \rightarrow C_{(p)}/\langle \Psi^0 \rangle$  and  $C_{(p)}/\langle \Psi^0 \rangle$  is isomorphic to the completion of  $B_{(p)}[\Psi^p]$  with respect to the induced filtration.

The completion is necessary here: the polynomial extension of  $B_{(p)}$  by  $\Psi^p$  is not enough.

For example, one can show that

$$\sum_{n \geq 0} \mu_{p^n} \notin B_{(p)}[\Psi^p].$$

## Definition

Define  $\rho_n \in \mathbb{Z}_{(p)}[\Psi^p, \Psi^q]$ , for  $n \geq 0$ , by

$$\rho_n = (-1)^n \Psi^0 + \sum_{m=0}^n \sum_{k=1}^n \beta_{n,m}^k \Psi^{p^{\nu_p(k)}} \varphi_m,$$

where  $\beta_{n,m}^k = (-1)^{n-k} \binom{n}{k} \frac{\theta_m(k/p^{\nu_p(k)})}{\theta_m(q^m)}$ , for  $n, m \geq 0$  and  $k \geq 1$ .

## Theorem

The elements of  $C_{(p)}$  are uniquely expressible as infinite sums  $\sum_{n \geq 0} a_n \rho_n$ , where  $a_n \in \mathbb{Z}_{(p)}$ .

There is an isomorphism of rings between  $C_{(p)}/\langle \Psi^0 \rangle$  and a completion of  $\mathbb{Z}_{(p)}[\Psi^p, \Psi^q]$ .

## Question

Is there a nice choice to replace  $\rho_n$  ?

## $p$ -adic operations

The situation becomes simpler with  $p$ -adic coefficients.

### Theorem

There is an isomorphism of rings

$$C_p / \langle \Psi^0 \rangle \cong B_p[[\Psi^p]].$$

Let  $G_p$  denote the Adams summand and let  $U_p$  denote the unstable additive operations for  $G_p$ .

### Theorem

There is an isomorphism of rings

$$U_p / \langle \Psi^0 \rangle \cong \mathbb{Z}_p[[\Psi^q - 1, \Psi^p]].$$